

COUNTEREXAMPLES TO A CONJECTURE OF WOODS

ODED REGEV, URI SHAPIRA, AND BARAK WEISS

ABSTRACT. A conjecture of Woods from 1972 is disproved.

A lattice in \mathbb{R}^d is called *well-rounded* if its shortest nonzero vectors span \mathbb{R}^d , is called *unimodular* if its covolume is equal to one, and the *covering radius* of a lattice Λ is the least r such that $\mathbb{R}^d = \Lambda + B_r$, where B_r is the closed Euclidean ball of radius r . Let N_d denote the greatest value of the covering radius over all well-rounded unimodular lattices in \mathbb{R}^d . In [Woo72], A. C. Woods conjectured that $N_d = \sqrt{d}/2$, i.e., that the lattice \mathbb{Z}^d realizes the largest covering radius among well-rounded unimodular lattices. Moreover, Woods proved this statement for $d \leq 6$. In [McM05], McMullen proved that Woods's conjecture implies a celebrated conjecture of Minkowski. Spurred by this result, Woods's conjecture has been proved for $d \leq 9$ by Hans-Gill, Kathuria, Raka, and Sehmi (see [KR] and references therein), thus yielding Minkowski's conjecture in those dimensions. In this note we prove:

Theorem. *There is $c > 0$ such that $N_d > c \frac{d}{\sqrt{\log d}}$. For all $d \geq 30$, $N_d > \frac{\sqrt{d}}{2}$.*

Proof. Our examples will all be of the form

$$\Lambda = \alpha_1 \Lambda_1 \oplus \alpha_2 \mathbb{Z}^m$$

for some choices of $\Lambda_1, \alpha_1, \alpha_2, m$. It will be more convenient to work with the quantity $C(\Lambda) = 4r(\Lambda)^2$, where $r(\Lambda)$ is the covering radius of Λ . Clearly $C(\alpha\Lambda) = \alpha^2 C(\Lambda)$, and the Pythagorean theorem shows that $C(\Lambda_1 \oplus \Lambda_2) = C(\Lambda_1) + C(\Lambda_2)$. Let $\lambda_1(L)$ denote the length of the shortest nonzero vector of L , and suppose Λ_1, Λ_2 are well-rounded. If the α_i satisfy $\lambda_1(\alpha_1 \Lambda_1) = \lambda_1(\alpha_2 \Lambda_2)$, then $\alpha_1 \Lambda_1 \oplus \alpha_2 \Lambda_2$ is well-rounded. Moreover, there is a unique choice of α_i for which it is also unimodular. Namely, if Λ_1 is well-rounded and unimodular of dimension n , and $\Lambda_2 = \mathbb{Z}^m$, in order for Λ to be well-rounded and unimodular we must take $\alpha_1 = \lambda^{-\frac{m}{n+m}}$ and $\alpha_2 = \lambda^{\frac{n}{n+m}}$, where $\lambda = \lambda_1(\Lambda_1)$. Thus

$$C(\Lambda) = C(\Lambda_1) \lambda^{-\frac{2m}{n+m}} + m \lambda^{\frac{2n}{n+m}}.$$

For each $d > 3$, let $m = \left\lfloor \frac{d}{\log d} \right\rfloor$, $n = d - m$. Let Λ_1 be any lattice in \mathbb{R}^n for which λ_1 is maximal, that is, Λ_1 is a lattice giving the densest lattice packing in dimension n . Although Λ_1 is only known in very few dimensions, it is a well-known result of Minkowski (see [GL87, Chapter 2] or [CS88, §1.1.5]) that there is $c_1 > 0$ such that for all n ,

$$\lambda = \lambda_1(\Lambda_1) \geq c_1 \sqrt{n}.$$

Recall that a lattice L_0 is called *critical* if the function $L \mapsto \lambda_1(L)$, considered as a function on the space of unimodular lattices, attains a local maximum at L_0 . Then clearly Λ_1 is critical, and a theorem of Voronoi (whose proof is not difficult; see, e.g., [GL87, Chapter 6]) implies that Λ_1 is well-rounded. Now let α_1, α_2 be the unique positive numbers for which $\Lambda = \alpha_1 \Lambda_1 \oplus \alpha_2 \mathbb{Z}^m$ is well-rounded and unimodular. Then

$$C(\Lambda) \geq m \lambda^{\frac{2n}{m+n}} \geq c_2 m n^{\frac{n}{d}} \geq c_3 \frac{d^2}{\log d}$$

for positive c_2, c_3 , and the first assertion follows.

Taking Λ_1 to be the laminated lattice Λ_{15} (see [CS88, Chapter 6]), we have $C(\Lambda_1) \geq \frac{14}{512^{1/15}}$, $\lambda = \frac{2}{512^{1/30}}$, $n = 15$ and so

$$C(\Lambda) \geq \frac{14}{512^{1/15}} \cdot \left(\frac{2}{512^{1/30}} \right)^{-\frac{2m}{15+m}} + m \left(\frac{2}{512^{1/30}} \right)^{\frac{30}{15+m}},$$

which is greater than $d = m + 15$ for all $m \geq 15$. Note that Λ_{15} is generated by its shortest nonzero vectors and is in particular well-rounded. See [CS88, Chapter 6] or [Bar58]. \square

Remark 1. A similar construction with the Leech lattice will work for $d \geq 38$, with the 16-dimensional Barnes-Wall lattice will work for $d \geq 33$, and with the laminated lattice Λ_{23} will work for $d \geq 31$. We are grateful to M. Dutour-Sikirić for suggesting the use of the laminated lattice Λ_{15} for this problem.

Acknowledgements: We are grateful to Mathieu Dutour-Sikirić and Curt McMullen for useful comments and suggestions. OR was supported by the Simons Collaboration on Algorithms and Geometry and by the National Science Foundation (NSF) under Grant No. CCF-1320188. US was supported by ISF grant 357/13. BW was supported by ERC starter grant DLGAPS 279893.

REFERENCES

- [Bar58] E. S. Barnes, *The construction of perfect and extreme forms. I*, Acta Arith. **5** (1958), 57–79. MR0100568

- [CS88] J. H. Conway and N. J. A. Sloane, *Sphere packing, lattices and groups*, Grundlehren de mathematische wissenschaften, vol. 290, Springer, 1988.
- [GL87] P. M. Gruber and C. G. Lekkerkerker, *Geometry of numbers*, Second, North-Holland Mathematical Library, vol. 37, North-Holland Publishing Co., Amsterdam, 1987. MR893813
- [KR] L. Kathuria and M. Raka, *On conjectures of Minkowski and Woods for $n = 9$* . Preprint, available at <http://arxiv.org/abs/1410.5743>.
- [McM05] C. T. McMullen, *Minkowski's conjecture, well-rounded lattices and topological dimension*, J. Amer. Math. Soc. **18** (2005), no. 3, 711–734 (electronic). MR2138142 (2006a:11086)
- [Woo72] A. C. Woods, *Covering six-space with spheres*, Journal of Number Theory **4** (1972), 157–180.

COMPUTER SCIENCE DEPARTMENT, COURANT INSTITUTE OF MATHEMATICAL SCIENCES, NEW YORK UNIVERSITY.

DEPT. OF MATHEMATICS, TECHNION, HAIFA, ISRAEL ushapira@tx.technion.ac.il

DEPT. OF MATHEMATICS, TEL AVIV UNIVERSITY, TEL AVIV, ISRAEL barakw@post.tau.ac.il